

Global Continuous Controls Monitoring Forum

The premier event for current and aspiring Continuous Controls Monitoring users

13 March, 2013

Hotel Orchid

Chamber I, II and III

Mumbai, India



Presented by Sama Audit Systems and Softwares Pvt. Ltd. in partnership with CaseWare RCM Inc.

Forum Takeaways

- Global and local expert insights on practical implementation methodologies for CCM solutions
- How to strengthen Corporate Governance
- Network with CCM experts from India and abroad.
- Book on “Data Analytics and Continuous Controls Monitoring” published by Institute of Chartered Accountants of India

Who Should Attend

- Audit Committee Members
- Chief Executive Officers
- Chief Financial Officers
- Legal & Compliance Heads
- Chief Audit Executives
- MIS Heads
- Internal and External Auditors
- Information Technology Audit Specialists
- Business Process Owners
- Fraud Investigators
- Finance Professionals



Wednesday, 13 March, 2013



09.30 – 10.15

Key Note Speaker

Shailesh Haribhakti – Chief Executive Officer and Managing Partner – Haribhakti Group

Continuous Controls Monitoring – Academic Paper Presentation

10.15 – 10.45

CA Deepee Singhal

Through the implementation of appropriate monitoring processes, organisations can identify and remediate internal control deficiencies on a timely basis to help produce more reliable information for decision making and accurate financial reporting.

11.00 – 12.30

Continuous Controls Monitoring (CCM) in action – Case Studies on Successful Implementations from around the Globe.

Andrew Simpson – Chief Operating Officer – CaseWare RCM Inc., Canada

Following global case studies will be presented:

Company	About Company	Key CCM Project Benefits
Enersource Corporation (Canada)	Diversified Energy and Technologies Company	Implemented a solution focused on billing verification across multiple data sources.
Metcash Limited (Australia)	Marketing and Distribution Company operating in grocery, liquor and hardware wholesale.	Monitor various business processes across the organization to improve control over key exception reports.
Christie's Auction House (UK)	Fine arts auction house with locations in London and New York.	Created solution to monitor financial transactions across multiple systems.
Safaricom (Africa)	Leading mobile network operator in Kenya.	Set-up CCM to monitor financial transactions and prevent fraud.
National Insurance Board (Caribbean)	Responsible for administering the social security program in the Bahamas.	Ensures the accuracy of government benefit payments.
Benteler Group	Automotive supplies and steel tube manufacturing.	Monitors Purchase to Pay and Order to Cash processes for large volume of data.

12.30 – 01.30

Internal Control Assurance and Corporate Governance

Prof. N Balasubramanian – Visiting/Adjunct Professor at IIM-Ahmedabad/Bangalore

Founder & Chairperson IIM-B Corporate Governance Centre





CaseWare Monitor Version 4 – Features and Enhancements

02.15 – 02.45

Stacey Hines-Walker – Product Manager - CaseWare RCM Inc., Canada

CaseWare Monitor Version 4 is another landmark version of our Continuous Controls Monitoring framework. Its user interface is built on new web based technologies focusing on intuitive interactions. Users are guided on how to communicate, review and resolve exceptions. With Version 4 users can create customizable dashboards and notification settings. Exceptions can be sent to users with resolution guidelines from specific standards like COSO SOX and Clause 49 for Indian listed companies. A user-oriented visual workflow can be seamlessly built by the user to push analytics through the enterprise.

Continuous Controls Monitoring in action – Case Studies from India – Monitoring for Governance.

02.45 – 03.30

Nipul Chandiwala – Chief Financial Officer – Dachser India Pvt. Ltd.

Continuous Controls Monitoring in action – Case Studies from India – Monitoring Business Processes.

03.45 – 04.30

Jayant Mavlankar – Group President Assurance – Kalpataru Group

Continuous Controls Monitoring – Implementation Challenges

04.30 – 05.30

Andrew Simpson – Chief Operating Officer – CaseWare RCM Inc., Canada

Stacey Hines-Walker – Product Manager - CaseWare RCM Inc., Canada

Hear from experts on practical solutions to overcome hurdles in any standard CCM implementation that cover:

- Budget concerns/restrains and priorities
- Multiple systems and disparate data across the organization
- Continuous Auditing / CCM within existing GRC or ERP framework
- Handling technical aspects of implementation with the IT group
- Creating a CCM implementation strategy

Valedictory Session

05.30 – 06.00

Ms. Homai Daruwalla – Former Chairperson, Central Bank of India

Independent Director of Public Sector/Private Companies

Breaks

10.45 – 11.00

Tea and Coffee Break

03.30 – 03.45

01.30 – 02.30

Lunch Break



Registration Fees

Rs. 10,112/- for one delegate (i.e. Rs. 9000/- plus 12.36% Service Tax for nominations within India)

US Dollars 175 for one delegate (delegates and nominations from out of India)



Payment

Please enclose Cheque / DD payable at Mumbai in favour of “Sama Audit Systems & Softwares Pvt. Ltd.” Registration fee is non-refundable. A suitable and acceptable replacement will be accommodated.

Cancellation and Withdrawal Policy

Registration fees are non-refundable. A suitable and acceptable replacement will be accommodated. In certain circumstances, Sama will consider a credit of up to 75% of the fees for adjustment against any other Sama programme within the following six months.

Four Easy Ways to Register

Mail

Mumbai Office	601, Janki Centre, 29, Shah Industrial Estate, Off Veera Desai Road, Andheri (West), Mumbai – 400 053
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